

PTQ/SB/08A (10-96)

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

1

of

2

Completeness if known

Application Number

10/000,396

Filing Date

11/30/2001

First Named Inventor

John A. Copeland, III

Group Art Unit

Examiner Name

Attorney Docket Number

10775-36246

U.S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

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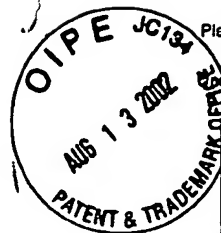
**Examiner
Signature**

Date Considered

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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449B/PTO		Complete if Known			
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	10/000,396		
		Filing Date	11/30/2001		
		First Named Inventor	John A. Copeland, III		
		Group Art Unit			
		Examiner Name			
Sheet	2	of	2	Attorney Docket Number	10775-36246

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
BB		Copeland, John A., et al, "IP Flow Identification for IP Traffic Carried Over Switched Networks," The International Journal of Computer and Telecommunications Networking COMPUTER NETWORKS 31 (1999), pp. 493-504	
BB		Cooper, Mark "An Overview of Intrusion Detection Systems," Xinetica White Paper, (www.xinetica.com) November 19, 2001	
BB		Newman, P., et al. "RFC 1953: Ipsilon Flow Management Protocol Specification for IPv4 Version 1.0" (www.xyweb.com/rfc/rfc1953.html) May 19, 1999	

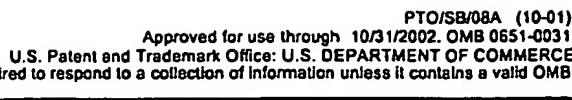
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Examiner Signature		Date Considered	9/6/05
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Substitute for form 1449A/PTO

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Application Number	10/000,396
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Filing Date	11/30/2001
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First Named Inventor	John A. Copeland III
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Art Unit	2131
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Examiner Name

Attorney Docket Number	10775-36246
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Examiner Initials	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
JHO JHO		US- 6,182,226B1	01-30-2001	Reid, et. al.	
		US- 5,991,881	11-23-1999	Conklin, et. al.	
		US- 5,970,227	10-19-1999	Dayan, et. al.	
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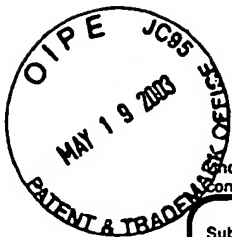
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Substitute for form 1449B/PTO		Compleat if Known	
		Application Number	10/000,396
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Filing Date	11/30/2001
		First Named Inventor	John A. Copeland, III
		Group Art Unit	2131
		Examiner Name	
		Attorney Docket Number	10775-36246
Sheet		of	

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
[Signature]		COPELAND, JOHN A., et. al., "IP Flow Identification for IP Traffic Carried Over Switched Networks," The International Journal of Computer and Telecommunications Networking COMPUTER NETWORKS 31 (1999), pp. 493-504.	
		COOPER, MARK "An Overview of Intrusion Detection Systems," Zinetica White Paper, (www.xinetica.com) November 19, 2001.	
		NEWMAN, P., et. al. "RFC 1953: Ipsilon Flow Management Protocol Specification for IPv4 Version 1.0" (www.xyweb.com/rfc/rfc1953.html) May 19, 1999.	
		PAXSON, VERN, "Bro: A System for Detecting Network Intruders in Real-Time," 7th USENIX Security Symposium, Lawrence Berkkeley National Laboratory, San Antonio, TX January 26-29, 1998	
		MUKHERJEE, BISWANATH, et. al., "Network Intrusion Detection," IEEE Network, May/June 1994.	
		"Network-vs Host-Based Intrusion Detection: A Guide to Intrusion Detection," ISS Internet Security Systems, October 2, 1998, Atlanta, GA.	
		BARFORD, PAUL, et. al. "Characteristics of Network Traffic Flow Anomalies," ACM SIGCOMM Internet Measurement Workshop 2001 (http://www.cs.wisc.edu/pb/ublications.html) July 2001.	
		FRINCKE, DEBORAH, et. al., "A Framework for Cooperative Intrusion Detection" 21st National Information Systems Security Conference, Oct. 1998, Crystal City, VA.	
		Phrack Magazine, Volume 8, Issue 53, July 8, 1998, Article 11 of 15.	
		"LANsleuth Fact Sheet," LANSleuth LAN Analyzer for Ethernet and Token Ring Networks, (www.lansleuth.com/features.html), Aurora, Illinois.	
[Signature]		"LANsleuth General Features," (www.lansleuth.com/features.html), Aurora, Illinois.	

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